

# Research priorities for the management of freshwater fish habitat in Canada

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57 **Summary**

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59 Effective management of freshwater fish habitat is essential to supporting healthy aquatic  
60 ecosystems and sustainable fisheries. In Canada, recent changes to the *Fisheries Act*  
61 enhanced the protection of fish habitat, but application of those provisions relies on sound  
62 scientific evidence. We employed collaborative research prioritization methods to identify  
63 scientific research questions that, if addressed, would significantly advance the management of  
64 freshwater fish habitat in Canada. This list was generated by a diverse group of freshwater fish  
65 experts, including substantial contributions from practitioners who administer provisions of the  
66 *Fisheries Act*. The research questions generated in this study identify priority topics for future  
67 research, while highlighting issues that could be addressed with different funding models. As a  
68 result, this study should support evidence-based management of Canada's aquatic resources  
69 by identifying scientific knowledge gaps faced by practitioners, and suggesting mechanisms to  
70 address them. Given the important contribution of Canadian freshwater systems to global  
71 ecosystem values, and the similar scientific challenges facing fish habitat managers in other  
72 jurisdictions, this study is likely to have broad applicability.

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87 **Keywords:** horizon scan, environmental management, aquatic ecosystem

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## 91 **Introduction**

92 Freshwater ecosystems support a disproportionately high amount of biodiversity (Balian et al.  
93 2008) and provide a broad suite of economic, environmental, cultural, and spiritual value to  
94 human populations (Millenium Ecosystem Assessment 2005). Among these benefits, freshwater  
95 fisheries support important commercial and recreational industries, and are a major contributor  
96 to food security for many human communities (Welcomme et al. 2010; Lynch et al. 2016).  
97 Healthy and productive freshwater fish populations are built on a foundation of high quality  
98 freshwater habitat that supports access to feeding and reproductive sites, shelter from predators  
99 and adverse environmental conditions, and connectivity between locations as required by fish  
100 life histories (Lapointe et al. 2014). The conservation and effective management of freshwater  
101 habitat is therefore key to supporting freshwater fisheries and protecting the diverse benefits  
102 that freshwater ecosystems provide.

103  
104 Canada has one of the largest and most diverse portfolios of freshwater habitat in the world  
105 containing 26% of the Earth's surface fresh water and 60% of the Earth's fresh water lakes  
106 (Messenger et al. 2016). Because of the high ecological value of freshwater ecosystems, and the  
107 vast assortment of freshwater systems in Canada, Canadian freshwater habitat management  
108 can have a strong impact on global ecosystem values and international conservation goals  
109 (Cristine et al. 2019). However, much of the freshwater habitat in Canada has been impacted  
110 by the direct and indirect consequences of human activities (e.g. Bradford and Irvine 2000; Chu  
111 et al. 2014; Maitland et al. 2016). For example, an estimated 98% of Canadian wetlands near  
112 urban centers have been lost or degraded (Federal Provincial and Territorial Governments of  
113 Canada 2010), and there are over 8400 dams contributing to habitat fragmentation in the  
114 province of Quebec alone (MELCC 2020). Correspondingly, freshwater fishes are one of the  
115 most imperiled species groups in Canada (Rainer et al. 2017).

116  
117 Recognizing the important link between habitat and freshwater fisheries, many jurisdictions  
118 have legislative and regulatory frameworks to support the protection of freshwater fish habitat.  
119 In Canada, the Fish and Fish Habitat Protection Provisions of the *Fisheries Act* are one of the  
120 primary authorities used to manage the impacts of human activities on freshwater fish habitat.  
121 With findings that authorized impacts were not being sufficiently compensated to prevent the net  
122 loss of fish habitat (Quigley and Harper 2006; Quigley et al. 2006; Office of the Auditor General  
123 2009; Favaro and Olszynski 2017) amendments were made to the *Fisheries Act*, 2019 that  
124 sought to modernize the Fish and Fish Habitat Protection Provisions. This included prohibitions

125 against causing the death of fish or the harmful alteration, disruption or destruction of fish  
126 habitat, as well as the inclusion of a framework of considerations to guide decision making  
127 functions (DFO 2019). To implement evidence-based decision making in relation to these  
128 changes to the *Fisheries Act*, habitat managers require the availability of sound science related  
129 to the impacts of human activities on aquatic ecosystems and how these impacts could be  
130 managed.

131  
132 Science, in the form of empirical and modelling studies, evidence syntheses, science advice,  
133 decision support tools, and data products, not only directly informs the day-to-day decisions of  
134 habitat practitioners, but also contributes to the development of effective legislation and policy  
135 and the *post-hoc* evaluation of policies and decisions. As such, scientific information and advice  
136 is an important component of freshwater fish habitat protection in Canada (e.g. Rice et al.  
137 2015). Yet, despite broad awareness of the importance of science for the effective management  
138 of freshwater systems, identifying specific research that would best support resource managers  
139 remains a challenge. In part, this challenge stems from identifying the specific needs of  
140 practitioners, and framing them as testable scientific research questions (O'Connell and White  
141 2017). In addition, prioritization of scientific research must balance the diverse informational  
142 needs of science users with the costs, challenges and timeliness of science delivery (Cvitanovic  
143 et al. 2013).

144  
145 Fortunately, several global and regional initiatives are bringing together researchers, science  
146 users, and other stakeholders to prioritize scientific research via a formal iterative process that  
147 encourages collaboration and open discussion (e.g. Sutherland et al. 2009, 2013; Fleishman et  
148 al. 2011; Rudd et al. 2011). These 'collaborative research prioritization' approaches rely on an  
149 inclusive, transparent, and democratic framework for consensus building (Sutherland et al.  
150 2011), and have been used to prioritize research in a variety of fields related to applied  
151 environmental management (reviewed in Dey et al. 2020).

152  
153 In this study, we used collaborative research prioritization methods to co-produce (Cooke et al.  
154 2020) a list of research questions that, if answered, would best support effective management  
155 of freshwater fish habitat in Canada. This list was generated by a diverse group of experts in  
156 Canadian fish habitat research, management, and policy. Included in this group was a large  
157 contingent from Fisheries and Oceans Canada's (DFO's) Fish and Fish Habitat Protection  
158 Program (FFHPP), who administer the Fish and Fish Habitat Protection Provisions of Canada's

159 *Fisheries Act* and several other relevant authorities related to Canadian freshwater fish habitat  
160 conservation and protection (e.g. *Species at Risk Act*, the *Aquatic Invasive Species*  
161 *Regulations*, aquaculture regulations, impact assessment legislation).

162  
163 Candidate research questions were identified through an extensive literature search and a  
164 widely distributed expert survey. These questions were further refined and assessed through an  
165 online Delphi process (Mukherjee et al. 2015) to create the final list of priority research  
166 questions presented here. In addition to identifying questions that would best support habitat  
167 management, the project team also estimated the amount of scientific resources (i.e. human  
168 and financial resources, and time requirements) needed to answer each question, and the  
169 amount of scientific knowledge already available. These additional considerations were made to  
170 support researchers and science planners in selecting appropriate approaches to answering  
171 each question, and to help triage research questions when funding is limited.

## 172 173 **Methods**

174 Our study broadly follows collaborative research prioritization methods described elsewhere  
175 (e.g. Fleishman et al. 2011; Sutherland et al. 2011; Varma et al. 2015; Greggor et al. 2016) and  
176 reviewed by Dey et al. (2020). These methods are characterized by four main steps: *i*)  
177 solicitation of a large pool of candidate research topics, *ii*) processing and collating of candidate  
178 topics to prepare for prioritization, *iii*) democratic ranking or scoring of candidate research  
179 questions, and *iv*) dissemination of priority research questions in a list. Below, we briefly  
180 describe the method used for each of these steps in this study. For interested readers, complete  
181 methodological details can be found in Dey et al. (2021).

### 182 183 **1. Building a pool of knowledge gaps**

184 Knowledge gaps related to freshwater fish habitat science were gathered through a literature  
185 search and an expert survey. Documents likely to identify knowledge gaps related to Canadian  
186 freshwater fish habitat science were identified through searches of the Federal Science Library,  
187 and through recommendations by the project's steering committee. These documents were all  
188 published between 1986 (the date of publication of DFO's Policy for the Management of Fish  
189 Habitat;(DFO 1986)) and 2019, and included primary and grey literature publications (e.g.  
190 documents published by DFO's Canadian Science Advisory Secretariat). We reviewed full text  
191 versions of 262 documents, and 1045 knowledge gaps identified in the corpus were extracted to  
192 a database. Full details related to the literature review are available in Dey et al. (2021).

193  
194 We also solicited scientific knowledge gaps from experts in research, policy, and management  
195 related to Canadian freshwater fish habitat using an online survey that was open from October  
196 11<sup>th</sup>, 2019 to January 10<sup>th</sup>, 2020 (13 weeks). Invitations to complete the survey were distributed  
197 to DFO staff through departmental mailing lists, as well as to external experts identified by the  
198 project steering committee (including academics, non-governmental organizations, and staff of  
199 other government agencies) through email. One hundred and twelve respondents anonymously  
200 identified 858 scientific knowledge gaps they had encountered in their professional activities  
201 through open-ended questions (e.g. “*In your professional experience, what knowledge gaps are*  
202 *currently hindering the development of effective policies and management strategies for*  
203 *freshwater fish habitat in Canada?*”) and in response to prompts related to broad areas of  
204 freshwater fish habitat science (e.g. “*Are you aware of any knowledge gaps related to stressors*  
205 *to fish habitat that should be priorities for future research to improve policy and management of*  
206 *freshwater fish habitat?*”). The survey design was reviewed and approved by the Lakehead  
207 University Research Ethics Board (permit #1467329). Together, 1903 knowledge gaps were  
208 identified through the literature review and expert survey (Figure 1).

209

## 210 **2. Processing and Collating the Initial Pool of Knowledge Gaps**

211 We refined the initial pool of knowledge gaps by combining conceptually similar knowledge  
212 gaps, and rephrasing knowledge gaps into research questions. To complete this procedure, we  
213 used a two-step approach that relied on computer-based natural language processing and  
214 expert judgement from human observers. First, we used the *quanteda* package (Benoit et al.  
215 2018) in *R* (R Core Team 2019) to calculate pairwise similarity scores (ranging from 0 to 1) for  
216 all pairs of knowledge gaps using cosine text similarity (Gomma and Fahmy 2013). Next, we  
217 used walktrap clustering (Pons and Latapy 2006) implemented in the *igraph* package (Csardi  
218 and Nepusz 2006) to identify sets of similar knowledge gaps, and had an expert observer (CJD)  
219 decide whether those sets of knowledge gaps (or subsets thereof) were sufficiently similar to be  
220 combined. We conducted this process iteratively with new knowledge gaps (resulting from the  
221 combination of conceptually similar knowledge gaps) being fed back into the process. This  
222 process continued until there were 1000 knowledge gaps remaining in the pool.

223

224 Next, we sorted the 1000 remaining knowledge gaps into groups based on keyword matching,  
225 and manually combined similar knowledge gaps within and across keyword groups. During this  
226 step, knowledge gaps that were unrelated to freshwater fish habitat (e.g. some were specific to

227 marine environments), or deemed too broad (e.g., some survey responses were limited to entire  
228 research fields such as 'invasive species') were removed from the pool. Finally, the retained  
229 knowledge gaps were rephrased as research questions, and assigned to one of ten research  
230 theme areas. This process resulted in the creation of a pool of 334 research questions that  
231 collectively represented the range of knowledge gaps identified from the literature review and  
232 expert survey (see Rego et al. 2021a, 2021b for the complete list).

233

### 234 **3. Identifying priority research questions**

235 The 334 research questions served as a starting point for an online Delphi process aimed at  
236 identifying priority research questions. Delphi processes are characterized by iterative and  
237 anonymous participation by a group of experts that aim to arrive at a consensus (Mukherjee et  
238 al. 2015). Our Delphi process included three steps: 1) An initial scoring step, in which each  
239 participant scored a subset of the initial list of 334 research questions (mean of 7.8 responses  
240 per question, total of 60 individuals completed this step). These scores were used to narrow the  
241 scope of the remaining steps of the process by eliminating research questions that were  
242 deemed less important to fish habitat management in Canada. 2) A feedback step, in which the  
243 remaining 93 research questions were grouped into 10 themes and participants reviewed the  
244 initial scores given to each research question while being invited to provide written comments  
245 related to those scores. Participants were invited to comment both on the scores themselves  
246 (e.g., if they thought the group had rated a question as more or less important than it ought to  
247 be) or on the question text (e.g. if they thought the research question could be improved by  
248 small textual changes). Fifty four individuals completed this step. 3) A final scoring step, in  
249 which participants reviewed the comments of their peers and selected their final scores for the  
250 remaining research questions. Forty eight individuals completed this step.

251

252 During the initial and final scoring steps (1 and 3), participants were asked to score each  
253 question based on its importance to freshwater fish habitat management in Canada (six point  
254 Likert scale, ranging from 'very unimportant' to 'very important'), with the highest scores being  
255 reserved for questions that, if answered, would have transformative impacts on freshwater fish  
256 habitat management in Canada. In addition, participants scored questions based on the amount  
257 of scientific resources they thought would be required to answer the question (four point Likert  
258 scale, ranging from 'very low' to 'very high') and the extent of existing scientific knowledge  
259 related to the research question (four point Likert scale, ranging from 'very limited' to 'well

260 known'). These additional metrics were included to help inform researchers, funders, and  
261 science planners of the likely costs and best approach to addressing each research question.

262

#### 263 **4. Data Availability**

264 Data collected through this project are available in English and French in Rego et al. (2021).  
265 Additionally, an interactive [web application \(available in English and French\)](#) shows the 93 most  
266 important research questions identified through this project, and the final scoring data related to  
267 those questions.

268

### 269 **Findings**

270

#### 271 ***Demographics of participants***

272 Sixty-nine individuals participated in at least one stage of the process to identify priority  
273 research questions (Figure 2A), with 57% of these participants completing all three steps (initial  
274 scoring, feedback step, and final scoring). Participants were predominantly members of FFHPP  
275 (n = 24), researchers employed by DFO (n = 21), and researchers affiliated with Canadian  
276 universities or provincial agencies (n = 18). In addition, a group of external practitioners (n = 6),  
277 representing Canadian non-governmental organizations, conservation authorities, and other  
278 science-based federal departments contributed to the process. The majority of participants had  
279 more than 10 years of experience working on freshwater fish habitat issues (Figure 2B).

280

#### 281 ***The top ten most important research questions for freshwater fish habitat management***

282 We used the data collected during the final scoring step to assign ranks related to a question's  
283 perceived importance. First, we converted Likert scales to numeric values (very low = 0, very  
284 high = 5) and calculated mean importance scores based on the scores from researchers and  
285 practitioners separately. Then, we ranked questions based on equal weighting of the responses  
286 from researchers and practitioners, based on practitioner responses alone, and based on  
287 researcher responses alone (Table 1). Importance scores for all 93 priority research questions,  
288 and other data associated with this publication, can be downloaded from our interactive [web](#)  
289 [application](#) created using the *Shiny* package (Chang et al. 2020) in R (R Core Team 2019).



290

**Table 1.** The ten most important research questions for freshwater fish habitat management. Shown are the ranks of each question, with rank 1 indicating the most important question. Ranks are based on the responses of practitioner and researchers alone, or based on equal weighting of the responses of practitioners and researchers. In the case of a tie, similar ranks are shown for each question. Working definitions for ambiguous terms were provided to participants and are shown in the footer. An interactive table which includes all 93 priority research questions can be found at <https://qecology-dfo.shinyapps.io/ShinyPrioritization>.

	Equal weighting	Practitioners only	Researchers only
When do cumulative impacts on a system lead to tipping points (thresholds) in ecosystem health?	1	1	1
When, how, and over what scale, should management decisions consider cumulative effects?	2	2	1
How effective are common habitat restoration practices for achieving their intended outcomes?	3	4	3
What are the impacts of specific types of works, undertakings and activities on fish habitat?	4	3	9
How effective are different habitat offsetting methods in achieving their intended outcomes?	5	7	5
Can we define thresholds for habitat modification below which the effects on fish productivity are minimal?	6	6	10
How do the cumulative effects of catchment modification impact habitat quality?	7	9	13
How effective are common avoidance and mitigation measures used in freshwater habitat management?	8	14	8
What are the best metrics for quantifying the impact of stressors on fish habitat?	9	13	10
What are the impacts of different types of land use change on freshwater habitat?	10	8	16

#### Working definitions for ambiguous terms found in the 93 research questions

Productivity – the rate of generation of biomass in an ecosystem, typically in reference to the generation of fish biomass.

Habitat quality – a measures of the intactness, health and productive potential of a habitat, independent of the quantity (i.e. area / volume) of habitat.

Works, undertakings, and activities – projects in or near water that may affect fish or fish habitat

Offsetting – measures used to counterbalance the residual impacts of works, undertakings or activities, for example by the creation of new habitat, or the restoration and enhancement of existing habitat

Standards and Codes of Practice – procedures for avoiding the death of fish or the harmful alteration, disruption or destruction of fish habitat during common works, undertakings and activities

291

292 Overall, practitioners and researchers showed broad agreement on the importance of research  
 293 questions, with a strong correlation between the ranks assigned by each group of respondents  
 294 (Spearman rank correlation,  $Rho = 0.66$ , 95% CI = (0.53, 0.79),  $n = 93$ ). Both groups of  
 295 respondents considered the question “*When do cumulative impacts on a system lead to tipping*  
 296 *points (thresholds) in ecosystem health?*” as the most important research question, with  
 297 research questions related to habitat management effectiveness and stressors to fish habitat  
 298 also being scored high by both groups (Table 1).

299

### 300 ***What are the most important research questions in each research theme?***

301 Prior to the feedback step (step 2) of the prioritization process, we grouped research questions  
302 into ten themes to improve the efficiency of discussion on similar research questions. Below, we  
303 describe the link between each of the ten research themes and the management of freshwater  
304 fish habitat in Canada, and present the three most important research questions for each  
305 research theme (based on equal weighting of practitioner and researcher responses) followed  
306 by its overall ranking in brackets. Numeric values following each question indicate the question's  
307 overall rank out of all 93 priority research questions (based on equal weighting between  
308 practitioner and researcher responses).

### 309 *Multiple stressors and cumulative effects*

310 A growing body of literature suggests that ecosystems may not show linear responses to  
311 combinations of stressors, with many natural systems being impacted by multiple anthropogenic  
312 stressors acting over different temporal and spatial scales, on different species, or through  
313 different mechanisms (Côté et al. 2016; Hodgson and Halpern 2019). Moreover, the way in  
314 which stressors interact can influence the effectiveness of management measures (Brown et al.  
315 2013). In response, Canada's *Fisheries Act* now requires considerations of "the cumulative  
316 effects of the carrying on of the work, undertaking or activity ... in combination with other works,  
317 undertakings or activities that have been or are being carried out, on fish and fish habitat"  
318 (section 34.1 (1) (d)) during various decision-making processes. Research on multiple stressors  
319 and cumulative effects aims to reduce uncertainty around ecosystem responses, and to provide  
320 tools for decision-making in the face of limited data related to multiple stressors. The highest  
321 ranked research questions in this theme include:

- 322
- 323
- 324 • When do cumulative impacts on a system lead to tipping points (thresholds) in ecosystem  
325 health? (1)
- 326 • When, how, and over what scale, should management decisions consider cumulative  
327 effects? (2)
- 328 • How do the cumulative effects of catchment modification impact habitat quality? (7)
- 329

### 330 *Habitat management effectiveness*

331 Understanding if fish habitat management actions produce their intended outcomes is important  
332 for the protection and conservation of fish habitat. Despite concerns that many previous fish  
333 habitat compensation or offsetting projects have resulted in net losses of fish habitat (Quigley

334 and Harper 2006; Favaro and Olszynski 2017), there are surprisingly few evaluations of the  
335 effectiveness of management measures such as mitigation, restoration, or offsetting (Theis et  
336 al. 2020). Research in this theme could help practitioners understand the uncertainty associated  
337 with the expected and intended outcomes of different management actions, thereby supporting  
338 the achievement of habitat management goals. Highly ranked research questions in this theme  
339 include:

340

- 341 • How effective are common habitat restoration practices for achieving their intended  
342 outcomes? (3)
- 343 • How effective are different habitat offsetting methods in achieving their intended outcomes?  
344 (5)
- 345 • How effective are common avoidance and mitigation measures used in freshwater habitat  
346 management? (8)

347

#### 348 Stressors to fish habitat

349 Understanding the impacts of human activities and natural stressors on freshwater fish habitat is  
350 key to managing those impacts and protecting ecosystem health. Research in this domain  
351 provides evidence to habitat managers about the specific consequences of human activities on  
352 fish and fish habitat (e.g. Gray et al. 2012; Cox et al. 2018), including their likelihood of causing  
353 the death of fish by means other than fishing (e.g. prohibited under *Fisheries Act* subsection  
354 34.4 (1)) and the harmful alteration, disruption or destruction of fish habitat (e.g. prohibited  
355 under *Fisheries Act* subsection 35(1)). This information can then be incorporated into  
356 management decisions designed to manage risk associated with certain types of projects, and  
357 to help set criteria for monitoring programs designed to evaluate the impact of projects on fish  
358 and fish habitat. Highly ranked questions in this theme include:

359

- 360 • What are the impacts of specific types of works, undertakings and activities on fish habitat?  
361 (4)
- 362 • What are the best metrics for quantifying the impact of stressors on fish habitat? (9)
- 363 • What are the impacts of different types of land use change on freshwater habitat? (10)

364

#### 365 Habitat, population dynamics, and community structure

366 The quality and quantity of aquatic habitat has important impacts on fish productivity, population  
367 dynamics, and the structure and function of aquatic communities. Understanding the specific

368 mechanisms by which habitat components affect the vital rates of fish populations is important  
369 for determining the likely response of those populations to changes in habitat (Hayes et al.  
370 2009). In addition, understanding the links between species interactions (e.g. predator-prey  
371 dynamics, competition) and habitat will inform assessments of the sensitivity or resilience of  
372 aquatic ecosystems (Downing and Leibold 2010). Highly ranked questions in this theme include:

- 373
- 374 • Can we define thresholds for habitat modification below which the effects on fish productivity  
375 are minimal? (6)
  - 376 • What are the mechanisms by which habitat changes impact fish populations? (15)
  - 377 • How does the quantity and quality of habitat relate to fish productivity? (28)
- 378

### 379 Habitat monitoring

380 Data from habitat monitoring programs is crucial for understanding the health of ecosystems,  
381 the impacts of human activities, the effectiveness of management actions, and the performance  
382 of policies and regulations. Scientific research can support the design of habitat monitoring  
383 programs that make efficient use of limited resources, while providing essential information for  
384 decision-making and evaluation (Nichols and Williams 2006; McDonald-Madden et al. 2010). In  
385 addition, scientific research can develop new technologies that improve the collection and  
386 management of habitat data. Highly ranked questions in this theme include:

- 387
- 388 • What monitoring methods are effective for very large projects? (20)
  - 389 • How long should monitoring programs be conducted to ensure that projects met their  
390 intended outcomes? (22)
  - 391 • How can we standardize monitoring to better understand the performance of different  
392 management measures? (26)
- 393

### 394 Flow, fish passage and habitat connectivity

395 Flow is considered a master variable driving the structure and function of fluvial ecosystems,  
396 and altering the natural flow regime can have a range of effects on ecosystem processes and  
397 habitat needs of biota (Poff 2018). The alteration of flow regimes, connectivity among aquatic  
398 habitats, and the ability of fish to pass anthropogenic and natural obstructions in waterways is a  
399 consequence of many human activities within watersheds (Nilsson et al. 2005; Liermann et al.  
400 2012). When habitat connectivity is disrupted, aquatic species and their resources cannot move  
401 among habitats, which can alter nutrient and energy cycles, block access to feeding or

402 reproductive sites, or prevent gene flow required for adaptation. Conversely, restoring  
403 connectivity can be associated with trade-offs between management objectives (e.g., native  
404 species restoration versus non-native species control; McLaughlin et al. 2013). Scientific  
405 information can contribute to advice on the effects of flow management on aquatic ecosystems,  
406 how barriers impact fish and fish habitats, and how both structural and functional connectivity  
407 can be maintained between habitats in the face of human disturbances. Highly ranked questions  
408 in this theme include:

- 409
- 410 • How does hydrological connectivity impact the quality of freshwater habitats? (19)
- 411 • How do flow regimes impact freshwater habitat? (23)
- 412 • How can flow management be designed with whole aquatic ecosystems in mind? (33)

#### 413

#### 414 Habitat classification

415 The characterization and classification of aquatic habitat provides a basis for the protection of  
416 sensitive, highly productive, rare or unique habitats through the designation of ecologically  
417 significant areas (*Fisheries Act* section 34.4(2)(g) and 35(2)(g)). In addition, research on habitat  
418 classification supports decision-making surrounding habitat offsetting programs (e.g. in  
419 understanding when compensations are equivalent), and the spatial aspects of habitat  
420 stressors. Scientific research related to the variation in physical and biological habitat  
421 components, or in structure and function of ecosystems, can help to define habitat patches and  
422 inform area based management decisions (Minns and Wichert 2005). Highly ranked questions  
423 in this theme include:

- 424
- 425 • What are the best metrics for quantifying habitat quality? (11)
- 426 • What are the priority habitat types for restoration and offsetting? (16)
- 427 • What are the desired attributes of new protected areas? (50)

#### 428

#### 429 Climate impacts on habitat

430 Climate change is influencing the quality, quantity and distribution of freshwater habitat in  
431 Canada by altering precipitation patterns, changing seasonal phenology, shifting thermal  
432 profiles in aquatic systems, and facilitating range shifts for native and invasive species (Poesch  
433 et al. 2016; Myers et al. 2017). These changes are likely to impact the success of habitat  
434 management measures, interpretation of habitat monitoring data, and contribute towards  
435 cumulative effects in many aquatic systems. Highly ranked questions in this theme include:

436

437 • How will climate change impact water temperature, water supply, and water quality in  
438 Canadian freshwater systems? (14)

439 • How should climate change be considered during offsetting and restoration projects? (32)

440 • How will climate change impact productivity of freshwater fish habitats? (34)

441

#### 442 Habitat use

443 Understanding how fishes use aquatic habitat is key to understanding which species may be  
444 exposed to risk from various stressors, when they may be exposed (e.g. for migratory species),  
445 and the mechanisms that mediate how habitat changes impact populations and communities  
446 (Minns 2001). Information on occupancy and abundance of different fish species in different  
447 habitat types can help inform practitioners as to how changes in specific habitat components will  
448 impact fish populations, and whether harms are specific to certain life-stages (e.g. if only young  
449 or old fish will be impacted). Highly ranked questions in this theme include:

450

451 • What are the habitat requirements for different life stages of freshwater species? (13)

452 • What is the availability, distribution, and quality of habitat for a given species? (29)

453 • What are the features of good and sub-optimal spawning habitats for freshwater fishes? (39)

454

#### 455 Other habitat issues

456 The remaining research questions did not fit into one of the nine research themes above, and  
457 were grouped into a final 'other' category. Many of these questions focused on issues  
458 surrounding the transfer of scientific knowledge into guidelines and decision-support tools for  
459 practitioners. Highly ranked questions in this theme include:

460

461 • What are appropriate targets or benchmarks that can be used to guide habitat management?  
462 (27)

463 • What types of management tools need be developed to integrate scientific information with  
464 policies? (31)

465 • How can the results of focused scientific studies be scaled-up to inform decision making at  
466 larger scales? (35)

467

#### 468 ***The costs and context for priority research questions***

469 In addition to data on the perceived importance of each research question, we also collected  
470 expert opinion on the amount of scientific resources required to answer each research question  
471 (i.e. the financial, human resources, and time costs), and on the amount of scientific information  
472 that is already available for each research question. Most previous collaborative research  
473 prioritization studies have not considered these important elements of context (but see  
474 Cvitanovic et al. 2013; McWhinnie et al. 2017), which may have hindered progress on  
475 addressing previously identified research priorities (Rees et al. 2016; Jucker et al. 2018; Dey et  
476 al. 2020) .

477

478 We found that there was a moderate positive correlation between the amount of scientific  
479 resources required to answer a question and the question's importance to freshwater fish  
480 habitat management in Canada (Figure 3; Spearman rank correlation,  $Rho = 0.34$ , 95% CI =  
481 (0.16, 0.52)). Questions that were considered to be very important to freshwater fish habitat  
482 management were generally thought to require more scientific resources. This pattern could be  
483 due to an underlying relationship with the scope of the question since broadly formulated  
484 questions are likely to be more important to management and more costly to answer.  
485 Additionally, we found a weak negative correlation between the amount of existing knowledge  
486 related to a question and a question's importance ( $Rho = -0.22$ , 95% CI = (-0.43, -0.02)),  
487 suggesting that the most important research questions have a smaller background of existing  
488 knowledge. Taken together, these results suggest a challenge to answering the most important  
489 research questions, in that these questions tend to be relatively costly and have limited existing  
490 knowledge on which to draw. Yet, despite these general trends, there was considerable  
491 variance in resource requirements and the amount of existing knowledge across the range of  
492 importance scores. As a result, it should be possible to identify questions that meet desired  
493 combinations of various criteria (e.g. high importance, high existing knowledge, low cost) and  
494 could serve as low-hanging fruit for future research.

495

### 496 ***Approaches for addressing priority research questions***

497 If the authors of collaborative research prioritization studies are to convince scientific funders to  
498 support research on the identified priorities, it would be beneficial to identify what approaches  
499 would most effectively distribute limited research funds while also addressing the identified  
500 priorities. Below, we use data on the importance, resource requirements (costs), and amount of  
501 existing knowledge, to suggest different funding models that could address the identified priority  
502 questions. We categorized each research question as having a 'higher' or 'lower' score for

503 importance, costs, and existing knowledge based on whether the mean score provided by  
504 participants for a given question was above or below the median score across all 93 priority  
505 research questions. Then, the project's steering committee identified potential mechanisms that  
506 could be used to support research on questions with different combinations of importance, costs  
507 and, existing knowledge (Table 2) .

508  
509 For example, some research questions may be highly important to management and may  
510 already have a large body of relevant scientific knowledge. In such a case, funding that supports  
511 evidence syntheses, science advice products, or the development of decision-support tools  
512 (Smokorowski and Pratt 2007; Copp 2013), might support effective ecosystem management  
513 with relatively low costs to funders. Conversely important research questions that would require  
514 high amounts of scientific resources may be best addressed by the formation of research  
515 networks, with collaboration across different institutions and funding from multiple sources (e.g.  
516 Aquatic Habitat Canada, Canadian Freshwater Species at Risk Research Network). In Table 2,  
517 we also display some examples of questions that could be addressed through different funding  
518 approaches, but note that our interactive [Web Application](#) provides further tools for identifying  
519 research questions that meet different criteria.



**Table 2.** Suggested approaches for addressing priority research questions depending on their importance to freshwater fish habitat management, amount of existing knowledge, and scientific resource requirements (costs). N refers to the number of questions that fall into each of these categories, with higher/lower values for each metric being defined by scores above or below the median value for that metric. Empty cells indicate that any value for the specific metric would be consistent with the suggested approach.

Importance	Costs	Knowledge	Approaches	Examples	N
Higher		Higher	Evidence syntheses, science advice products and decision support tools	How can we standardize monitoring to better understand the performance of different management measures? What are the priority habitat types for restoration and offsetting?	17
Higher	Higher	Lower	Research networks and long term collaboration	What are the impacts of specific types of works, undertakings and activities on fish habitat? How do the cumulative effects of catchment modification impact habitat quality?	22
Higher	Lower	Lower	Targeted projects over shorter time lines	What, if any, are the residual habitat impacts from works, undertakings and activities that follow DFO's Standards and Codes of Practice? What is the likelihood of death of fish from different types of work, undertakings or activities in freshwater?	8
Lower			As value-added projects that piggy-back on higher priority research	What are the anthropogenic barriers to movement in freshwater systems for each species and life stage? How do fish communities and fish habitats naturally change over time?	46

520

521 **Synthesis**

522

523 Effective policy and management of freshwater fish habitat relies on a strong base of scientific  
 524 evidence for sound decision-making. In this study, Canadian freshwater fish habitat experts  
 525 collaborated to produce a list of research questions that, if answered, would improve freshwater  
 526 fish habitat management in Canada. Research questions related to cumulative effects, to the  
 527 impacts of single stressors on fish habitat, and to the effectiveness of habitat management were  
 528 considered highly important to freshwater fish habitat management in Canada. Some of these  
 529 priorities are likely related to changes included in the 2019 *Fisheries Act*. In these cases, the  
 530 specific research questions identified herein should provide a clear path to produce the  
 531 evidence required for sound decision-making under the new legislation. However, this study  
 532 also highlights some long-standing research questions that require more attention. For example,  
 533 the need for more evidence on the effectiveness of habitat restoration and offsetting measures

534 was identified over 40 years ago (Horak and Olson 1980; Roni et al. 2008; Tischew et al. 2010),  
535 and while the impacts of individual stressors on aquatic ecosystems has received significant  
536 research efforts (e.g. DFO 2014; Hunsicker et al. 2016) there is still important knowledge gaps  
537 to address.

538  
539 Similar methods have been previously used to identify topics of importance to Canadian  
540 resource management. Indeed, one of the first collaborative research prioritization studies  
541 published was the prioritization of research for Canadian conservation policy and management  
542 by Rudd et al. (2011). More recently, Pérez-Jvostov et al. (2020) conducted a horizon scanning  
543 exercise to explore emerging threats and opportunities for Canadian inland waters, and  
544 identified research and policy options for helping to address these issues. These publications  
545 identify some common research priorities to the current study, which we interpret as evidence  
546 for common challenges that cut across Canadian resource management issues. For example,  
547 Pérez-Jvostov et al. (2020) identified 'the dynamics of state changes caused by multiple  
548 stressors' as a priority issue, which is conceptually similar to the current study's finding that  
549 research on cumulative effects was considered highly important. Similarly, developing  
550 technologies to remotely monitor and assess freshwater habitat (identified as a priority in the  
551 current study), would also support an understanding of the expansion of land and water use in  
552 northern Canada, another emerging issue identified by Pérez-Jvostov et al. (2020). Recently,  
553 the Government of Canada began consultations on a new Canada Water Agency (Government  
554 of Canada 2020), which could provide national leadership in addressing these types of cross-  
555 cutting freshwater issues, including supporting or coordinating research on priorities that are  
556 common to different management concerns.

557  
558 As part of the management-focused approach to research prioritization taken in our study, we  
559 involved a large group of freshwater fish habitat practitioners (managers and policy experts).  
560 Interestingly, our methods resulted in broad consensus among researchers and practitioners  
561 regarding the importance of individual research questions. Indeed, the research question that  
562 received the highest importance scores ("*When do cumulative impacts on a system lead to*  
563 *tipping points (thresholds) in ecosystem health?*") was considered 'very important' by 100% of  
564 practitioners and 83% of researchers, suggesting consensus both among and within groups of  
565 participants. This finding is similar to results from the collaborative research prioritization of US  
566 resource management issues which also found that there was no clear divide in the research  
567 priorities of researchers and practitioners (Rudd and Fleishman 2014). Only seven research

568 questions had a difference in importance score of more than 0.5 points (1/2 of a step on the  
569 Likert scale) between researchers and practitioners. Of the questions that researchers thought  
570 were more important than practitioners, two referred to the mechanism by which habitat  
571 changes impact freshwater ecosystems (*What are the mechanisms by which habitat changes*  
572 *impact fish populations? What are the mechanisms by which different stressors interact to*  
573 *influence fish or fish habitat?*) perhaps suggesting a divide in opinion on the importance of  
574 mechanistic (i.e. why certain patterns occur) versus phenomenological (i.e. what the patterns  
575 are) comprehension of habitat responses. Supporting this idea, several research questions with  
576 a phenomenological focus were among the questions scored more highly by practitioners (e.g.  
577 *What are the population dynamics of fishes in artificial habitats such as municipal drains and*  
578 *hydropower reservoirs?*).

579  
580 In addition, some of the heterogeneity in responses among participants of both groups could  
581 have been due to differences in the importance of topics across different regions of Canada.  
582 Our study included research and practitioner participants from across Canada, and it is likely  
583 that the scores provided by participants differed according to the issues that are most prominent  
584 in their regions. For example, climate change impacts on freshwater habitat may have been  
585 scored as a more important topic for those with greater experience working in Canada's north  
586 (where temperature increases have been more profound; Previdi et al. 2020), while multiple  
587 stressors may have been considered more important by those working in southern areas with a  
588 higher density of human activity, despite participants being instructed to consider the national  
589 importance of each issue.

590  
591 The Canadian federal government is one of the primary jurisdictions involved in managing  
592 freshwater fish habitat in Canada, and one of the primary motivators for our study was the  
593 changes to Canada's *Fisheries Act, 2019*. For this reason, we involved a large contingent of  
594 researchers and practitioners from DFO, who would be well positioned to identify research  
595 priorities related to this legislation. However, we acknowledge that other organizations, such as  
596 provincial, territorial and municipal governments, Indigenous peoples, and proponents of works,  
597 undertakings, and activities near water, also play an important role in protecting Canada's  
598 aquatic resources (DFO 2019). Research priorities (and their relative importance) for freshwater  
599 fish habitat management identified by other organizations could differ from those outlined in the  
600 current study. However, we suggest that addressing the research priorities identified in the  
601 current study would benefit a range of organizations, because many jurisdictions are dealing

602 with common threats (e.g. climate change, fragmentation, invasive species, etc; Dudgeon et al.  
603 2006; Reid et al. 2019).

604  
605 Similarly, we suggest that many of the research questions identified as priorities in the current  
606 study will also be highly relevant to aquatic habitat management in other countries (especially  
607 other temperate countries), because habitat practitioners rely on a global body of scientific  
608 evidence. As such, scientific gaps hindering management of Canada's freshwater fish habitat  
609 are also likely to hinder the application of programs such as the United States' Essential Fish  
610 Habitat Program (under the *Sustainable Fisheries Act*) or the European Union's Water  
611 Framework Directive. Additionally, given the important role of Canadian freshwater habitat  
612 management in meeting global biodiversity goals (Coristine et al. 2019) it is likely that the  
613 important research questions identified herein may have importance well beyond the initial  
614 scope of our project.

## 615 616 617 **Author contributions**

618  
619 The idea for this study was formulated by Marten Koops, Jon Midwood, and Amanda Winegardner. Work planning  
620 and logistical support for the project was provided by a steering committee consisting of Cody Dey, Marten Koops,  
621 Jon Midwood, Amanda Winegardner, Alex de Paiva, Lisa Robichaud, Karin Ponader, Katherine McKercher, Michael  
622 Bradford, Keith Clarke, and Neil Mochnacz. Data collection and analysis was primarily conducted by Cody Dey and  
623 Adam Rego, with support from those listed above. Other co-authors participated in the research prioritization process.  
624 All authors contributed to writing and revising the manuscript.

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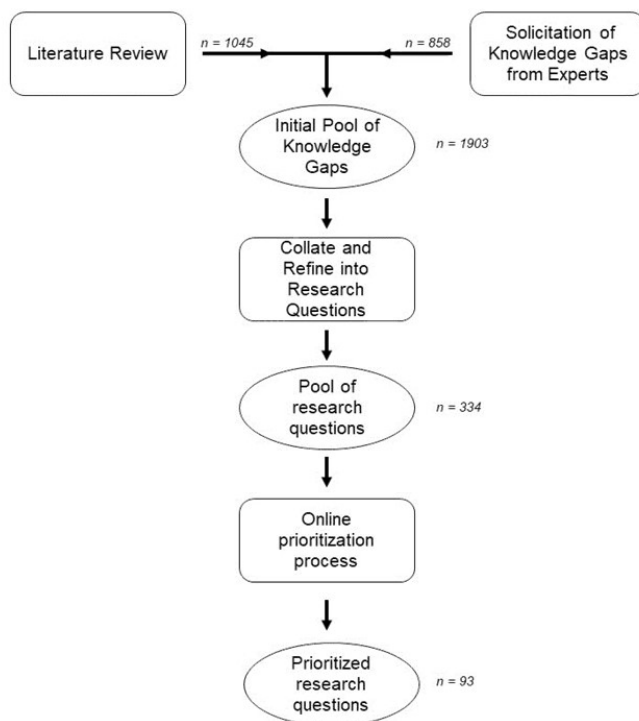


Figure 1. Outline of the project workflow. Numeric values in grey indicate the number of knowledge gaps or research questions considered in each step of the process.

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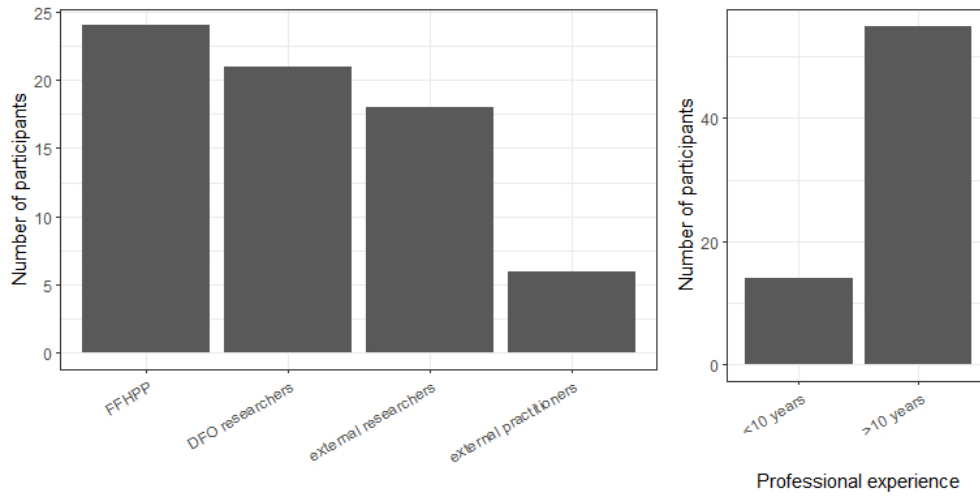


Figure 2. Professional affiliations (left) and professional experience in the field of freshwater fish habitat, for the participants involved in prioritization of freshwater fish habitat research questions ( $n = 69$ ). FFHPP indicates the Fish and Fish Habitat Protection Program, while DFO indicates Fisheries and Oceans Canada.



Figure 3. The relationship between the amount of scientific resources required (i.e. the costs, left panel), the amount of existing scientific knowledge (right panel), and the importance to freshwater fish habitat management in Canada, for each of 93 priority research questions. For each question we plotted the mean score based on equal weighting of the responses from researchers and practitioners.